



## **INSTITUTIONAL REGULATIONS ON DOCTORAL STUDIES**



## Contents

|                                                                                                                                                                       |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| CHAPTER I. Introductory provisions.....                                                                                                                               | 3  |
| CHAPTER II. General provisions .....                                                                                                                                  | 3  |
| Section I – “Alexandru Ioan Cuza” University of Iași - Institution organising doctoral studies (IOSUD) .....                                                          | 3  |
| Section II - Management of IOSUD-UAIC .....                                                                                                                           | 4  |
| Section III - Doctoral School.....                                                                                                                                    | 6  |
| Chapter III – Special provisions .....                                                                                                                                | 9  |
| Section I – Professional relations between the Doctoral School and doctoral students. Doctoral studies agreement.....                                                 | 9  |
| Section II - Guidance and supervision of doctoral students .....                                                                                                      | 14 |
| Section III - Conduct of doctoral studies.....                                                                                                                        | 15 |
| Section IV - The Doctoral thesis. Content, presentation, ethics and intellectual property .....                                                                       | 18 |
| Art. 28. Funding of doctoral programmes (1) Doctoral studies shall be funded in accordance with the legislation in force and the institutional funding contract. .... | 23 |
| CHAPTER V. Final provisions .....                                                                                                                                     | 23 |
| ANNEXES .....                                                                                                                                                         | 24 |
| Annex 1. Guidelines for writing doctoral theses .....                                                                                                                 | 24 |
| Annex 2. Procedures for awarding credits .....                                                                                                                        | 25 |
| Annex 3. Documents and forms.....                                                                                                                                     | 27 |



## CHAPTER I. Introductory provisions

**Art. (1)** Doctoral studies represent the third cycle of university studies included in the academic offer of “Alexandru Ioan Cuza” University of Iași (UAIC), with the aim of developing human resources competent in scientific research and capable of entering the highly skilled labour market. Doctoral studies focus on research-based training for research-development and innovation.

**(2)** Doctoral studies provide the acquisition of a level 8 qualification in the European Qualifications Framework (EQF/CEC) and the National Qualifications Framework.

**Art. 2.** This Regulation is elaborated in compliance with the provisions of the following reference documents:

a) Primary legislation: Higher Education Law No. 199/2023; Framework Regulation on doctoral studies, approved by OMEN No. 3020/2024, amended by OMEC No. 7.373/2024; OMEC No. 4653/2025; Framework methodology on the organisation of admission to higher education in short-term, bachelor's, master's and doctoral university study cycles; OMEN No. 5760/2024 approving the Regulations on the organisation and functioning of the National Commission for the Accreditation of Academic Titles, Diplomas and Certificates (CNATDCU); OMEC No. 3018/2025 approving the minimum national standards required and mandatory for the award of doctoral degrees; OME No. 3131/2018 on the inclusion of courses on academic ethics and integrity in the curricula of all university study programmes organised in higher education institutions within the national education system; OMEC No. 3741/2025 approving the Methodology for analysing the administrative procedure carried out at the level of institutions organising doctoral studies for the award of doctoral degrees;

b) Internal regulations: Charter of "Alexandru Ioan Cuza" University of Iași; Organisational chart of "Alexandru Ioan Cuza" University of Iași.

## CHAPTER II. General provisions

### Section I – “Alexandru Ioan Cuza” University of Iași - Institution organising doctoral studies (IOSUD)

**Art. 3. (1)** “Alexandru Ioan Cuza” University of Iași is an institution organising doctoral studies (IOSUD), hereinafter referred to as IOSUD-UAIC.

**(2)** IOSUD-UAIC consists of accredited or provisionally authorised doctoral schools.

**(3)** IOSUD-UAIC provides the regulatory, institutional and operational framework for the organisation of doctoral studies and all related activities, namely: admission, implementation of doctoral study programmes, their authorisation and periodic evaluation, implementation of doctoral school activities, exercise of the specific rights and obligations of all actors involved.

**(4)** IOSUD-UAIC is constantly engaging in improving the quality of the educational and research experience of its doctoral students by providing a framework for personal and professional development, conditions for study and research in foreign languages, as well as significant support for international mobility.

**(5)** IOSUD ensures the evaluation of doctoral study programmes, as well as the activity of doctoral students and doctoral supervisors (5.1) The quality of doctoral programmes, as well as the performance of doctoral students and doctoral supervisors are subject to an internal and external evaluation process.



(5.2) The external evaluation of IOSUD-UAIC, respectively of the doctoral study fields, for the purpose of provisional authorisation or accreditation, is carried out in accordance with the provisions of Section IV - Quality Assurance in Higher Education in compliance with the Higher Education Law No. 199/2023.

(5.3) The internal evaluation of the quality of doctoral study programmes is carried out periodically by the Council for Doctoral Studies, based on a methodology developed by the Council for Evaluation and Quality Management.

(6) The involvement of IOSUD-UAIC in the development of partnerships with prestigious higher education institutions abroad, as well as the number of international mobilities performed by doctoral students and doctoral supervisors, shall constitute a criterion for the periodic evaluation of IOSUD-UAIC. IOSUD-UAIC aims at increasing the number of international mobility programmes for doctoral students, so that at least 20% of doctoral students undertake a training period abroad or another form of mobility.

(7) IOSUD-UAIC is responsible for recognising internships approved by the doctoral schools under its authority and undertaken by doctoral students as part of internal and international mobility programmes.

(8) IOSUD-UAIC enters into partnerships for the development of doctoral programmes with other higher education institutions and/or research and development units, or with private legal entities.

(9) IOSUD-UAIC develops and implements various mechanisms to increase the retention of doctoral students within the university environment; such mechanisms include the support provided to doctoral students from disadvantaged socio-economic backgrounds, while preserving high-standard academic criteria and merits.

(10) IOSUD-UAIC, in collaboration with the Centre for Career Counselling and Guidance or other existing structures at UAIC, organises specific activities dedicated to doctoral students: counselling on participation in academic/research events; regular courses on academic writing, academic integrity, scientific communication; counselling and support in the administrative area, as well as other activities aimed at providing support and guidance to doctoral students.

**Art. 4. (1)** IOSUD-UAIC is headed by the Council for Doctoral Studies, hereinafter referred to as CSUD-UAIC.

**(2)** CSUD-UAIC is headed by a director, whose position is equivalent to that of vice-rector.

**(3)** IOSUD-UAIC consists of: the Doctoral School of Biology, the Doctoral School of Chemistry, the Doctoral School of Law, the Doctoral School of Economics and Business Administration, the Doctoral School of Philosophy and Social and Political Sciences, the Doctoral School of Physics, the Doctoral School of Geosciences, the Doctoral School of Informatics, the Doctoral School of History, the Doctoral School of Mathematics, the Doctoral School of Psychology and Education Sciences, the Doctoral School of Philological Studies, the Doctoral School of Sports Science and Physical Education, the Doctoral School of Theology.

## **Section II - Management of IOSUD-UAIC**

**Art. 5. The Council for Doctoral Studies (1)** CSUD-UAIC has the following responsibilities:

- a) establishes the strategy of IOSUD-UAIC;
- b) drafts the institutional regulations on doctoral studies at IOSUD-UAIC level;
- c) drafts other proposals for regulations or measures concerning doctoral studies at UAIC;
- d) formulates proposals regarding the establishment and dissolution of doctoral schools within IOSUD-UAIC;
- e) approves the list of doctoral supervisors who will be part of a newly established doctoral school;
- f) develops the requirements for writing doctoral theses;
- g) develops the standards of ethics and professional conduct applicable during doctoral studies;



h) develops the Regulations on the organisation and conduct of the process of obtaining the habilitation certificate at the level of IOSUD-UAIC;

i) ensures the day-to-day management of IOSUD-UAIC activities and the coordination of doctoral schools;

j) other specific duties, established by the Regulations for doctoral studies, in accordance with the law.

**(2)** CSUD-UAIC members are appointed based on the Methodology for appointing CSUD-UAIC members, proposed by the Rector of the University and approved by the University Senate.

**(3)** CSUD-UAIC consists of a minimum of 7 and a maximum of 17 members. The term of office of CSUD-UAIC members is 5 years.

**(4)** The following may be members of CSUD-UAIC:

a) doctoral supervisors within IOSUD-UAIC;

b) doctoral supervisors from Romania or abroad;

c) representatives of doctoral students from the doctoral schools within IOSUD-UAIC, in a proportion of 25%;

d) scientific personalities or personalities from relevant industrial and socio-economic sectors.

**(5)** CSUD-UAIC members who are university teaching staff or researchers shall have the right to supervise doctoral students, in Romania or abroad, and shall meet the minimum standards required and mandatory for the award of the habilitation certificate in force at the date of their appointment as members of the CSUD.

**(6)** Doctoral schools are represented in the CSUD in accordance with the provisions of the Methodology for appointing CSUD members of the IOSUD-UAIC. If the number of doctoral schools exceeds the number of positions for doctoral supervisors in the CSUD, representation shall be ensured by groups of doctoral schools.

**(7)** The CSUD-UAIC meets in ordinary or extraordinary meetings, monthly or whenever necessary, at the request of the CSUD Director or at least one third of its members.

**(8)** The CSUD-UAIC meetings may be held in person or online, usually by videoconference. If it is necessary to consult CSUD members for their opinions at very short notice, this may also be done by email.

**(9)** The CSUD-UAIC is statutorily convened in the presence of 2/3 of the total number of CSUD members.

**(10)** The CSUD-UAIC decisions, in relation to the items on the agenda, are taken by voting on the proposal formulated the CSUD Director, after hearing the CSUD members.

**(11)** Decisions are adopted by a simple majority of those present. Decisions that have direct effect are communicated, through the CSUD Director, to all directors of doctoral schools within UAIC. Decisions subject to the approval and endorsement of other UAIC structures will follow the approval process.

**Art. 6. The Director of CSUD-UAIC** **(1)** The Director of CSUD-UAIC is an ex officio member of CSUD.

**(2)** The Director of CSUD-UAIC is appointed following a public competition organised by UAIC based on a methodology proposed by the Rector and approved by the Senate.

**(3)** The announcement regarding the organisation of the competition shall be published at least two months before the deadline set for the registration of candidates.

**(4)** The announcement regarding the organisation of the competition shall be published at least in the following ways: a) in a visible place, on the main page of the IOSUD-UAIC website; b) on the website of the Ministry of Education; c) in the Official Gazette of Romania, Part III.

**(5)** Only persons who are entitled to supervise doctoral students and who meet the minimum standards required and mandatory for the award of the habilitation certificate in force on the date of publication in the Official Gazette of the announcement regarding the competition for the position may apply for the position of director of the CSUD.



**(6)** The competition committee shall consist of 5 members, at least 3 of whom must be from outside IOSUD-UAIC, from Romania or abroad. The competition committee shall be appointed by decision of the Rector of UAIC.

**(7)** At least one member of the competition committee must have an employment contract with a higher education or research institution abroad, recognised as such by the Ministry of Education.

**(8)** The members of the Competition Committee shall be entitled to supervise doctoral students, in the country or abroad, and shall meet the minimum standards required and mandatory for the award of the habilitation certificate in force on the date of publication in the Official Gazette of the announcement of the competition for the position.

**(9)** Based on the decision of the Competition Committee, the Rector of UAIC shall conclude a management contract with the designated person for a period of 5 years. The rights and obligations of the Director of CSUD-UAIC, the criteria for evaluating his/her activity, as well as the procedures for amending and terminating the contract prior to the expiry of the term for which it was concluded are provided for in the management contract.

### **Section III - Doctoral School**

**Art. 7. (1)** Doctoral study programmes are organised and conducted at IOSUD-UAIC only within accredited or provisionally authorised doctoral schools.

**(2)** The establishment and dissolution of doctoral schools shall be proposed by the CSUD and approved by the University Senate. Doctoral schools at UAIC may organise and conduct doctoral studies in one or more doctoral fields.

**(3)** A doctoral school is organised and operates within IOSUD-UAIC with at least 3 (three) doctoral supervisors.

**(4)** At least half, but no fewer than 3 (three) of the doctoral supervisors of a doctoral school are teaching staff or tenured researchers at UAIC. No more than half of the doctoral supervisors of a doctoral school may come from other universities or research institutions in Romania or abroad. At most one third of the doctoral supervisors of a doctoral school may come from academic or research institutions abroad.

**(5)** A doctoral supervisor may only supervise doctoral students within the IOSUD-UAIC, except for joint doctorates with other institutions that may organise doctoral studies.

**(6)** A doctoral school:

- a) ensures the improvement and expansion of doctoral students' training through teaching, seminars, evaluation, scientific research, technological development, design and artistic creation, appropriate to the specific nature of the doctoral fields in which study programmes are organised;
- b) may impose transparent minimum standards of scientific performance that doctoral supervisors must meet in order to become members of the respective doctoral school;
- c) may accept the association of other researchers or teaching staff involved in research and/or teaching activities within research and development institutions or units, in Romania or abroad;
- d) recognises, in accordance with the IOSUD-UAIC regulations and according to legal provisions, the completion of previous doctoral internships and/or scientific research internships, carried out in Romania or abroad, in higher education institutions or recognised research centres, as well as the completion of courses within research-based master's degree programmes;



e) may organise research centres or laboratories that operate as revenue and expenditure units within doctoral schools.

**Art. 8. (1)** A doctoral school is managed by the Director of the Doctoral School and the Doctoral School Council (CSD), with a 5-year term of office. The Doctoral School Council is assimilated to the department council.

**(2)** The election of DSC members and the Director of the Doctoral School shall be carried out in accordance with the provisions of the Framework Regulation on Doctoral Studies and the specific legislation in force. Doctoral students shall have at least one representative on the Doctoral School Council.

**(3)** The main responsibilities of the Doctoral School Council are:

- a) proposing the regulations for the organisation and functioning of the doctoral school;
- b) making decisions on granting or revoking the membership of doctoral supervisors in the doctoral school, as well as establishing minimum standards of scientific performance for the objective application of these procedures;
- c) making decisions regarding the enrolment and expulsion of doctoral students;
- d) approving the doctoral school's specific curricula;
- e) approving the staffing structure of the teaching and research staff affiliated with the doctoral school;
- f) approving the academic guidance and integrity committees;
- g) approving the committees for the public defence of doctoral theses;
- h) assisting external evaluators with the provisional authorisation, accreditation and periodic evaluation of doctoral programmes within doctoral schools;
- i) other specific duties.

**(4)** The CSD meets in ordinary or extraordinary sessions whenever necessary, at the request of the Director of the doctoral school or at least one third of its members. The CSD is statutorily convened in the presence of half of its total number of members.

**(5)** CSD meetings may be held in person or online, usually by videoconference. If it is necessary to consult CSD members for their opinions at very short notice, this may also be done by email.

**(6)** CSD decisions, in relation to the items on the agenda, shall be taken by voting on the proposal of the SD Director, after hearing the CSD members.

**(7)** CSD decisions are adopted by a simple majority of those present. Decisions that have direct effect are communicated to the persons concerned by the Director of the Doctoral School. Decisions subject to the approval and endorsement of other UAIC structures will follow the approval process.

**(8)** CSD decisions with normative content as well as regulating procedures applicable to doctoral students, shall be published on the SD website.

**Art. 9. The Director of the Doctoral School (1)** The position of Director of the Doctoral School is equivalent to that of the head of department; the Director of the Doctoral School is an ex officio member of the CSD.

**(2)** The main duties of the Director of the Doctoral School are:

- a) to carry out the operational management of the doctoral school;
- b) to monitor the organisation and conduct of all activities within the doctoral school;
- c) to ensure collaboration between the doctoral school and departments, faculties or other structures within UAIC;
- d) to represent the doctoral school to the management of IOSUD-UAIC;



- e) to elaborate the draft curriculum for the advanced university studies training programme (PPUA) and to submit it to the CSD for approval;
- f) to draft the doctoral school's staffing/establishment plan and submit it to the CSD for approval;
- g) to organise and monitor the activities within the doctoral student training programmes;
- h) to organise and monitor the admission competition for doctoral studies;
- i) to ensure the development and implementation of curricula, job descriptions, research and quality management;
- j) to support the management of IOSUD-UAIC in the financial management of the Doctoral School;
- k) in the exercise of his/her duties, the Director of the Doctoral School is assisted by the Doctoral School Council.

**Art. 10. The staff structure of the Doctoral School (1)** The Doctoral School teaching staff structure is established by developing and approving the staffing plan of the doctoral school.

**(2)** The staffing plan is established at the level of the Doctoral School, in consultation with its members – on the basis of individual files, it is approved by the Doctoral School Council, the Faculty Council, the Rector of UAIC, the Board of Directors of UAIC and the UAIC Senate.

**(3)** The doctoral school's staffing plan includes:

- a) teaching activities related to the Advanced University Training Programme;
- b) activities carried out by doctoral supervisors affiliated with the SD, on a full-time or part-time basis, in relation to the doctoral student positions they coordinate;
- c) the activities of the members of the guidance and academic integrity committees.

**(4)** The structure of the staffing plan is determined based on the number of fields in which the respective doctoral school organises doctoral study programmes, the number of affiliated doctoral supervisors, and the number of doctoral students in training.

**(5)** The number of positions for auxiliary teaching staff shall be determined by the Rector of UAIC, depending on the budget and specific nature of the doctoral school and depending on its technical and administrative structure.

**(6)** In structuring the positions in the staffing plan of the Doctoral School, the Regulations regarding the staffing plan for teaching and research staff and all relevant normative acts shall apply.

**Art. 11. Regulations on the organisation and functioning of the Doctoral School (ROF SD) (1)** The ROF SD establishes the manner in which the Doctoral School is organised and operates, its structure and specific rights and obligations, in accordance with the framework regulations of the doctoral school approved at UAIC level.

**(2)** The regulations of the Doctoral School are drafted and, if necessary, amended in consultation with all doctoral supervisors who are members of the respective doctoral school. Consultation involves communicating the draft regulations by email to affiliated doctoral supervisors, with a minimum of three working days to formulate reasoned amendments. Amendments shall be analysed individually and submitted to the CSD for approval, provided that they do not contravene higher-level regulations.

**(3)** The final version of the ROF SD shall be approved *in its entirety* by a vote of the CSD members.

**Art. 12. (1)** IOSUD-UAIC guarantees the transparency of the organisation and conduct of doctoral study programmes at all its doctoral schools.



**(2)** Doctoral schools, with the logistical support of IOSUD-UAIC, shall ensure the publication on the internet of all necessary information regarding doctoral study programmes, with a particular focus on the following categories:

- a) the rules of organisation and operation of the doctoral school;
- b) the normative decisions of the CSD;
- c) general and specific information on academic ethics and deontology;
- d) information on vacancies for doctoral students;
- e) information on the organisation and delivery of doctoral programmes;
- f) information on the content of doctoral study programmes;
- g) information on how studies are financed and the costs incurred by doctoral students;
- h) the model framework contract for doctoral studies;
- i) information on doctoral supervisors and the doctoral students they supervise, including at least a list of their publications and patents;
- j) information on the professional results and performance of doctoral supervisors, including their updated CVs;
- k) the guide on writing doctoral theses, i.e. standards for their preparation, procedures and evaluation criteria;
- l) minimum standards developed by CNATDCU for awarding doctoral degrees;
- m) abstracts of doctoral theses to be publicly defended, as well as the announcement of the date, time and place of the public defence, drawn up at least 20 calendar days before the proposed date of the defence;
- n) the addresses where the completed doctoral theses can be accessed;

**(3)** IOSUD-UAIC shall publish on its website the annual assessment report, which shall necessarily contain references to the feedback of doctoral students regarding the quality of the educational process.

**Art.13. (1)** The doctoral school, together with the doctoral supervisor, is obliged to inform the doctoral student about scientific, professional and academic ethics and to verify compliance with them, including:

- a) compliance with ethical provisions during the course of doctoral research;
- b) compliance with ethical provisions in the writing of the doctoral thesis.

**(2)** The doctoral school and IOSUD-UAIC shall take measures to prevent and sanction deviations from scientific, professional and academic ethics, in accordance with the University's Code of Ethics and Professional Conduct.

## **Chapter III – Special provisions**

### **Section I – Professional relations between the Doctoral School and doctoral students. Doctoral studies agreement**

**Art.14. Doctoral students' status. (1)** Doctoral student status is acquired through enrolment, following the passing of a doctoral admission exam.

**(2)** Doctoral students are enrolled in a single doctoral school, which archives all unpublished materials related to their academic obligations until the public defence of their doctoral thesis or the expulsion of the doctoral student from doctoral studies. Upon enrolment, doctoral students receive a doctoral student card from the doctoral school, which is valid for the duration of their studies.

**(3)** The operational record of doctoral students at IOSUD-UAIC is organised at the level of each doctoral school. All activities related to the management of doctoral students' activities are carried out in the doctoral



school, from admission to the public defence of the thesis. The Department for Doctoral Studies organises the centralised records of doctoral students at IOSUD-UAIC.

**(4)** Doctoral student status is maintained throughout the entire period of attendance in the respective programme, from enrolment until:

- a) the completion of the study programme and the official submission of the doctoral thesis following its pre-defence;
- b) the expulsion of the doctoral student from the doctoral programme;
- c) an exception is represented by periods of interruption of studies, including any extensions granted in accordance with the provisions of the study agreement, based on the present Regulations.

**(5)** On the date of the official submission of the doctoral thesis, the doctoral student becomes a graduate, and after completing all subsequent stages, he/she is awarded the title of doctor by issuing the doctoral diploma.

**(6)** The status of doctoral student is maintained even during periods of internal and international mobility. In the case of international students, the academic mobility of incoming international doctoral students who pay tuition fee in foreign currency, the recognition of transferable credits is carried out by the source IOSUD, on the basis of university autonomy.

**(7)** The recognition of transferable credits, in the case of national and international academic mobility, may be carried out by IOSUD only for persons who prove their status as doctoral students with study documents or schooling documents issued by the higher education institution they attended.

**(8)** The conditions and manner in which academic mobility is carried out are those established by the Methodology approved by order of the Minister of Education.

**(9)** The doctoral student status:

- a) confers the right to be accommodated or to have meals on the university campus, to participate in conferences with discounts or fee exemptions, to access the research structures of the UAIC, etc.
- b) confers the right to recognition of seniority in work and specialisation, including in accordance with pension legislation, for the purpose of determining the contribution period. After the doctoral thesis has been defended, IOSUD-UAIC issues a certificate attesting to the period during which the doctoral student pursued doctoral studies.
- c) confers the right to free medical and dental care under the conditions provided by Law No. 95/2006 on health reform and the framework contract, without paying the mandatory social contributions regulated by Law No. 227/2015 on the Fiscal Code.
- d) confers the right to financial support for their activity in the form and conditions specific to the type of education and funding received;
- e) confers the right to support in resolving requests related to doctoral activity. Any conflicts regarding these requests shall be mediated by the CSD, and if the conflict is not resolved at this level, it shall be mediated by the CSUD. Conflicts between the doctoral student and the doctoral school shall be mediated by the CSUD.
- f) confers the obligation to comply with the provisions of the doctoral study agreement, the internal regulations of UAIC and all applicable normative provisions;
- g) imposes the obligation to be responsible for the originality of the doctoral thesis, with consequences extended even after the termination of this status.

**(10)** A doctoral student may be expelled in the following situations:

- a) if the doctoral student does not complete the thesis within the period established in accordance with the doctoral studies agreement and any addenda thereto;



- b) if the doctoral student obtains an "Unsatisfactory" grade at the second public defence of the doctoral thesis;
- c) if the doctoral thesis is invalidated a second time by CNATDCU;
- d) if the doctoral student has not signed the study agreement;
- e) if the doctoral student has not paid the tuition fees within the established deadlines;
- f) if the doctoral student has been proposed for expulsion as a sanction for fraud in professional activity or for serious breaches of university discipline, in accordance with the provisions of the Code of Ethics and University Conduct;
- g) if the doctoral student has withdrawn from their studies. Withdrawal from the doctoral programme is made at the request of the doctoral student, communicated to the CSD and processed administratively.
- h) if the doctoral student has not submitted a request to return to studies after the expiry of the interruption period;
- i) if the doctoral student has not passed the Advanced Training University Programme or has not submitted the research reports within the specified deadlines;
- j) other situations arising from these Regulations.

**(11)** The expulsion procedure is initiated at the proposal of the doctoral supervisor or the Director of the Doctoral School, approved by the CSD and finalised by the Rector's decision.

**(12)** The expulsion decision is communicated to the doctoral student or their legal representative by the doctoral school secretariat through all means of communication and to all the addresses indicated by the doctoral student in the doctoral study agreement, which are updated annually: by email and by posting on the doctoral school notice board based on the student's registration number.

**(13)** Doctoral students expelled under the provisions of the Code of Ethics and Academic Conduct may no longer be admitted to doctoral studies at IOSUD-UAIC.

**(14)** On the date of the expulsion decision, the current doctoral studies agreement shall terminate.

**Art. 15. Specific rights and obligations (1)** The rights and obligations of the doctoral student, the doctoral supervisor, and IOSUD-UAIC, through the doctoral school, are established by the doctoral studies agreement. The model framework agreement for doctoral studies is drawn up by the CSUD, in accordance with the IOSUD's own regulations, and is made available to all doctoral schools.

**(2)** The doctoral studies agreement is concluded with each doctoral student individually and is signed prior to enrolment by the doctoral student, the doctoral supervisor and the legal representative of IOSUD.

**(3)** The doctoral studies contract shall contain at least the following information:

- a) information about IOSUD and the doctoral school;
- b) identification details of the doctoral student and the doctoral supervisor;
- c) the field of doctoral studies; the type of doctorate; the form of education; the form of funding; the monthly amount of the scholarship/tuition fee, as applicable, the duration of the doctoral programme;
- d) the research topic;
- e) the language in which the study programme is organised; the language in which the doctoral thesis is written and defended - Romanian, the language of a national minority or an international language. Doctoral theses written in a language other than Romanian must be accompanied by a summary written in Romanian;
- f) the minimum standards that must be met during the studies and in the process of writing the doctoral thesis;



- g) the deadline for completing the doctoral thesis; the conditions for extending the deadline for completing the doctoral thesis; the conditions for interrupting the study programme;
- h) the conditions under which the doctoral supervisor may be changed;
- i) the conditions for termination of the agreement for doctoral studies;
- j) information on the processing of personal data, in accordance with the legislation in force.

**(4)** Study contracts shall not be amended during the academic year. The tuition fee shall remain unchanged throughout the normal duration of the study cycle and may be indexed at a maximum rate of inflation for the same cohort of students.

**(5)** The doctoral studies agreement shall be concluded in three original copies with each doctoral student and shall be signed by the doctoral student, the doctoral supervisor and the Rector of the University.

**(6)** The doctoral studies agreement and any additional documents, dully filled in and signed, shall be kept at the doctoral schools in the file of each doctoral student. One copy shall be given to the doctoral student.

**Art. 16. (1)** The rights and obligations of the doctoral students specified in the doctoral studies agreement shall be supplemented by those arising from Higher Education Law No. 199/2023, the Framework Regulation on doctoral studies, these Regulations and the Regulations of the doctoral school in which they study, as well as any other specific legal provisions in force.

**(2)** During the doctoral studies cycle, doctoral students have the right to:

- a) benefit from the support, guidance and coordination of the doctoral supervisor, as well as the guidance and academic integrity committee;
- b) be represented in the decision-making bodies of the doctoral school or CSUD-UAIC, in accordance with the legal provisions and these Regulations, as well as at any working meetings where topics relevant to doctoral studies are discussed;
- c) to benefit from the research logistics of the UAIC and, where applicable, of the UAIC's partners for the development of research projects and doctoral theses;
- d) to be integrated into the research project teams within IOSUD, including by participating in the process of drafting and submitting funding applications;
- e) to participate in scientific communication sessions organised by the doctoral school and/or IOSUD;
- f) to benefit from institutional support, within the limits of the funding allocated to doctoral studies, in order to participate in scientific conferences or congresses, workshops, summer or winter schools, national and international seminars or other scientific events in the field of specialisation in which they have chosen their doctoral thesis topic;
- g) to be informed about the curriculum of doctoral studies within the Doctoral School;
- h) to attend courses and seminars offered by other doctoral schools within IOSUD-UAIC, based on a request approved by their management;
- i) to benefit from national or international mobility;
- j) to benefit from student support services available at higher education institutions, including accommodation and canteen services;
- k) to request the CSD, on well-founded grounds, to change their doctoral supervisor;

**(3)** During the doctoral programme, doctoral students have the following obligations:

- a) to comply with the programme established together with the doctoral supervisor and to fulfil their obligations under the Advanced University Training Programme;



- b) to submit research reports in accordance with the Individual Scientific Research Programme;
- c) to communicate regularly with the doctoral supervisor and the doctoral school;
- d) to comply with institutional discipline, without their academic freedom being restricted;
- e) to comply with university ethics and deontology policies and to conduct their studies and research in accordance with the rules of academic integrity.

**Art. 17. The doctoral supervisor's status. (1)** The status of doctoral supervisor is acquired in accordance with legal norms, through habilitation or recognition.

**(2)** In order to supervise doctoral students at UAIC, teaching and research staff who have acquired this right must have a contractual relationship with UAIC and be members of a doctoral school within IOSUD-UAIC.

**(3)** The doctoral supervisor is affiliated with a single doctoral school within UAIC, and may only engage in co-supervision activities in other doctoral schools.

**(4)** Persons who have the right to supervise doctoral students obtained from institutions abroad may be affiliated with a doctoral school of UAIC, subject to compliance with the laws of both their country of origin and Romania.

**(5)** The rights and obligations of the doctoral supervisor derive from Higher Education Law No. 199/2023, the Framework Regulation on Doctoral Studies, these Regulations, the Regulations of the doctoral school in which they activate, and their individual employment contract.

**(6)** Doctoral supervisors have the following rights:

- a) the right to guide and evaluate the activity of the doctoral student throughout the doctoral programme, in accordance with professional and academic autonomy, pursuing the requirements of the doctoral programme and respecting the professional interests of the doctoral student;
- b) the right to participate in competitions for doctoral grants;
- c) the right to request the opening of vacant doctoral student positions for competition, to participate in the selection of doctoral candidates for each vacant position they supervise, and to propose the enrolment of the admitted doctoral student;
- d) the right to propose the academic guidance and integrity committee, as well as the public defence committee for the doctoral thesis;
- e) the right to decide on the study elements within the Advanced University Training Programme in which the doctoral student must participate, in compliance with the Framework Regulation on doctoral studies, approved by Order No. 3020/2024, with subsequent amendments and additions.
- f) the right to an impartial professional internal or external evaluation, according to known standards;
- g) the right to know the results of the internal and external evaluation of their own activity;
- h) the right to refuse to supervise a doctoral student if they are placed in a conflict of interest against their will;
- i) the right to request the CSD, on reasonable grounds, to terminate the supervision relationship with a doctoral student;

**(7)** Doctoral supervisors have the following obligations:

- a) to propose research topics;
- b) to provide scientific, professional and ethical guidance to each doctoral student enrolled under their supervision;
- c) to ensure the conditions and to supervise and stimulate the progress of doctoral students in their research;
- d) to monitor and evaluate each doctoral student objectively and rigorously;



- e) to support the internal or international mobility of doctoral students;
- f) to avoid conflicts of interest in the supervision of doctoral students;
- g) to make every effort to verify the conformity of doctoral theses with the specific requirements of an original creation.

## Section II - Guidance and supervision of doctoral students

**Art. 18. (1)** During their doctoral studies, each doctoral student is supervised by:

- a) a doctoral supervisor, possibly a team of co-supervisors;
- b) a guidance and academic integrity committee. At least one member of this committee is from outside UAIC.

**(2)** The members of the Guidance and academic integrity committee hold a doctoral degree and may be:

- a) members of the doctoral supervisor's research team;
- b) other persons affiliated with the doctoral school;
- c) teaching and research staff who are not affiliated with the doctoral school.

**(3)** The members of the Guidance and academic integrity committee are proposed by the doctoral supervisor, after consultation with the doctoral student, and the committee is approved by the CSD. Guidance and academic integrity committee members cannot be nominated as members of the public defense jury.

**(4)** A doctoral supervisor may simultaneously supervise doctoral students only within the IOSUD-UAIC, with the exception of cotutelles.

**(5)** A doctoral supervisor may supervise doctoral students only in the field for which he or she has obtained this right.

**(6)** Starting with the 2026-2027 academic year, a doctoral supervisor may simultaneously supervise 8 doctoral students, not including any doctoral students supervised in co-supervision, as a secondary coordinator. The maximum number of doctoral students at the time of the admission competition does not include doctoral students who have defended their doctoral thesis before the Guidance and academic integrity committee. By way of exception, up to a maximum of 12 doctoral students per doctoral supervisor may be supervised only with the approval of the University Senate, but not for more than 20% of the doctoral supervisors in a doctoral school.

**(7)** Until the date specified in paragraph (6), a doctoral supervisor may simultaneously supervise 12 doctoral students, not including any doctoral students coordinated in co-supervision, as a secondary coordinator. The maximum number of doctoral students does not include doctoral students who have defended their doctoral thesis in the Guidance and academic integrity committee. The maximum number of doctoral students does not include doctoral students who have defended their doctoral thesis before the Guidance and academic integrity committee.

**Art. 19. (1)** Doctoral studies may also be organised under co-supervision, in which case the doctoral student carries out their activity under the simultaneous supervision of two doctoral supervisors from IOSUD-UAIC or different IOSUDs, based on a written agreement between the organising institutions involved.

**(2)** In cases where the written *co-supervision* agreement is concluded between an **IOSUD** from **Romania** and a doctoral organizing institution from abroad, the study program's progression, the *doctoral thesis* elaborated, and the public defense conditions must be established so as to comply with the legal provisions applicable in both states. Otherwise, the administrative procedure carried out by IOSUD will be invalidated and the doctoral degree will not be awarded.



(3) The doctoral studies contract shall contain special provisions regarding co-supervision. In the case of doctoral studies organised under co-supervision, the main doctoral supervisor is the one whose vacant doctoral student position was the subject of the admission competition, with the second doctoral supervisor playing a secondary role.

(4) The co-supervision agreement or contract shall be approved by the doctoral supervisor, the Director of the doctoral school involved, the Legal Department and then by the management of IOSUD-UAIC. The co-supervision agreement shall be filed in the doctoral student's file.

(5) The co-supervision agreement shall explicitly specify: the scientific and financial responsibilities of the parties; the responsibilities related to the public defence of the thesis; the conditions for awarding the doctoral degree in each country, in the case of international co-supervision.

**Art. 20. Change of doctoral supervisor (1)** The CSD shall decide to change the doctoral supervisor under the following conditions:

- a) the doctoral supervisor retires and does not wish to continue supervising doctoral students;
- b) at the request of the Director of the Doctoral School, in the event that the doctoral supervisor is unavailable;
- c) at the request of the doctoral supervisor, for reasons related to the execution of the supervision report;
- d) at the request of the doctoral student, for reasons related to the execution of the supervision report;
- e) at the joint request of the doctoral student and the doctoral supervisor.

(2) Within 30 days at the latest, at the proposal of the Director of the Doctoral School, the CSD shall appoint another doctoral supervisor within the same field.

(3) The change of doctoral supervisor may be approved by the Director of the CSUD only with the written consent of the new doctoral supervisor and the doctoral student.

(4) When appointing a new doctoral supervisor, the CSD shall take into account the need for the doctoral student to complete the doctoral programme in the field of university studies specified in the study agreement.

(5) If no available doctoral supervisor can be identified within IOSUD-UAIC, IOSUD-UAIC shall take steps to ensure the transfer of the doctoral student within the same field. Thus, the doctoral student will take steps to identify a new doctoral supervisor, and the mobility procedure will be carried out with the support of the Doctoral Studies Department.

### Section III - Conduct of doctoral studies

**Art. 21. Admission (1)** Admission to doctoral studies is the process of selecting candidates for each vacant doctoral student position that doctoral supervisors within the doctoral school decide to propose according to the calendar established by CSUD.

(2) CSUD elaborates and the UAIC Senate approves the Regulations for admission to doctoral studies, which shall be published at least six months prior to the date of admission.

(3) Budgeted places and doctoral scholarships are distributed to doctoral schools in a fair manner, in proportion to the number of doctoral supervisors and doctoral fields. The distribution of budgeted places is based on a statistical analysis, for the previous 3 years, of the following components:

- a) the number of doctoral student positions occupied after the admission exam, through enrolment, relative to the number of places requested and distributed to the doctoral school upon admission;
- b) the number of public defences and confirmations of doctoral degrees;
- c) dropout rate (withdrawal from studies, expulsions);



(4) Admission to doctoral studies is done through a competition, organised annually, for each vacant position proposed by the doctoral supervisor and approved by the CSD in relation to the research topics proposed by the doctoral supervisor.

(5) The selection of candidates for a vacant doctoral student position is carried out by the doctoral school in accordance with the provisions of the Framework Methodology for Admission to University Studies adopted by order of the Minister of Education and in accordance with IOSUD's own Regulations for admission to doctoral studies.

(6) Doctoral schools ensure the transparency of competition procedures and guarantee access to this information, including by publishing it on the IOSUD-UAIC website and on the website of each doctoral school, as well as on their notice boards. The directors of doctoral schools are responsible for the proper conduct of the admission competition, as well as for compliance with quality standards, academic ethics and the legislation in force.

(7) The UAIC regulations for admission to doctoral studies set out the conditions for enrolment, the content of the application file and the stages of the competition.

(8) Candidates declared admitted on the basis of the admission results are enrolled by decision of the Rector. After enrolment, all doctoral students are registered in the Single Matriculation Register (RMU).

**Art. 22. Form and duration of doctoral studies (1)** There are two types of doctoral study programmes:

a) scientific doctorate, which aims to produce original, internationally relevant scientific knowledge based on scientific methods, organised only in the form of full-time education. This can be done on a budgeted basis or on a fee-paying basis. The scientific doctorate is a prerequisite for a professional career in higher education and research;

b) professional doctorate, in the fields of arts, sports, military sciences, respectively for dual university education, which aims to produce original knowledge based on the application of scientific methods, systematic reflection or applied research on artistic creations, on high-level national and international sporting achievements or on topics of practical importance and which form a basis for a professional career in higher education and research in the relevant fields.

(2) University programmes are organised in the form of full-time or part-time education. Candidates for professional doctoral studies shall choose one of the forms of education when registering for the admission competition.

(3) The required physical presence of the doctoral student may differ from one doctoral school to another and from one doctoral student to another, being decided by the doctoral supervisor according to the specifics of the doctoral programme and in compliance with the provisions of the doctoral school regulations.

(4) Depending on the proposed research topic and the type of activities related to the respective research topic, the doctoral supervisor shall indicate the limitation of the attendance regime to one of the two forms or shall propose maintaining both at the time of the admission announcement.

(5) The duration of the doctoral programme is 4 years.

(6) The duration of the doctoral programme may be extended at the request of the doctoral student, with the prior consent of the doctoral supervisor, indicating the requested duration of the extension. The extension may be granted per semester or per year, but for no more than two academic years. Requests for extension shall



be approved by the Director of the doctoral school, with a clear indication of the funding regime, within the limits of available funds, and are approved by the UAIC Senate.

(7) The duration of the doctoral programme may be reduced by one year at the request of the doctoral student, with the approval of the doctoral supervisor and the UAIC Senate.

(8) Doctoral studies may be interrupted at the request of the doctoral student, for valid reasons, based on a request approved by the Doctoral School Council and the Council for Doctoral Studies. The duration of studies shall be extended by the cumulative period of the approved interruptions.

(9) If the execution of the doctoral studies agreement is affected by exceptional circumstances: force majeure, pandemics, natural disasters, war, etc., CSUD may decide to suspend the execution of the agreement, with the extension of the duration of studies.

(10) Any change in the duration of doctoral studies shall be subject to an addendum to the doctoral studies agreement.

(11) The resumption of doctoral studies shall be based on a request made by the doctoral student. This shall be approved by the doctoral supervisor, the director of the Doctoral School and the director of CSUD.

(12) In fields of study regulated at European level, the duration of doctoral studies shall comply with the applicable regulations.

**Art. 23. The Doctoral programme (1)** The doctoral programme comprises the advanced university training programme and the individual scientific research programme.

(2) The structure and content of the advanced university training programme for each field of doctoral studies is approved by the Doctoral School Council. The advanced university training programme corresponds to 30 ECTS credits.

(3) Credits obtained in a research master's programme, in previous doctoral internships and/or scientific research internships, carried out in Romania or abroad, in universities or in prestigious research and development units, may be equated with those in the advanced university training programme. Equivalence is requested by the doctoral student, based on supporting documents, is approved by the doctoral supervisor and by the Doctoral School Council.

(4) During the individual scientific research programme, the doctoral student shall comply with the research plan proposed by the doctoral supervisor and approved by the Doctoral School Council. The individual scientific research programme is carried out under the guidance of the doctoral supervisor, supported by the Guidance and Academic Integrity Committee. The Doctoral School Council may approve minimum standards, mandatory deadlines and working procedures for scientific activity within the scientific research programme.

(5) The doctoral student's research activity is evaluated following the presentation of four *Research Reports* presented to the Guidance and Academic Integrity Committee as follows:

- a) one report at the end of the first year, after completion of the Advanced University Training Programme, in the form of a project that must contain: the title of the doctoral thesis, the broad outlines of the future thesis, the state of knowledge in the field, the objectives of the study, the intended research methodology, the schedule of planned activities, etc.
- b) two reports in the second and third years of doctoral studies. Each doctoral supervisor will decide on the content and form of these reports. The doctoral student is required to give a presentation on the progress of the Scientific Research Programme to the Guidance and Academic Integrity Committee and the doctoral supervisor at least once every 12 months, according to a schedule agreed upon by both parties.



c) the fourth report – the doctoral thesis, submitted for review by the Guidance and Academic Integrity Committee, in order to obtain approval for public defence (the final report).

(6) The deadlines for defending the research reports are set out in the Scientific Research Programme, with a general indication of the year and semester in which they are to be defended. The deadlines for defending the second and the third report may be extended by a maximum of six months, at the request of the doctoral student, with the approval of the doctoral supervisor and the Director of the Doctoral School. If the reports are not defended within the specified time limit, the doctoral student is proposed for expulsion.

(7) The research reports is defended before the Guidance and Academic Integrity Committee, in person or online, and is evaluated with one of the following qualifications: "Excellent", "Very good", "Good", "Satisfactory" or "Unsatisfactory". Following the meeting to defend a research report, minutes are drawn up in the format established by the Doctoral School Council. The minutes are archived in the doctoral student's file.

(8) The qualification "Unsatisfactory" indicates that the doctoral student has failed to fulfil the obligations of the Scientific Research Programme. The doctoral student may retake and resubmit the report, following the same procedure, within three months of the date of rejection.

(9) If the report is rejected a second time, the doctoral student shall be proposed for expulsion.

#### **Section IV – The Doctoral thesis. Content, presentation, ethics and intellectual property**

**Art. 24. (1)** The doctoral thesis shall be prepared in accordance with the requirements established by:

- a) The Law on Higher Education No. 199/2023;
- b) The Framework Regulations on doctoral studies;
- c) the standards and guidelines specific to the field of doctoral studies;
- d) the provisions of these Regulations.

(2) Each doctoral school shall draw up, for each field, a guide for writing the doctoral thesis, which shall be approved by the Doctoral School Council. The respective Decision of the Doctoral School Council is published on the doctoral school's website.

(3) The doctoral thesis shall have a title and a research topic, established within the Scientific Research Programme. The doctoral thesis title may be modified with the endorsement of the doctoral supervisor until its finalization for public defense.

(4) The doctoral thesis is an original work, and compliance with citation and self-citation standards is mandatory, as well as all elements of research ethics, implicitly mentioning the source for any cited material.

(5) The doctoral thesis and its annexes are public documents and shall be drafted and submitted in physical and digital format. The first and last names of the doctoral student, the doctoral supervisor and the members of the Guidance and Academic Integrity Committee, as well as the identification data of the IOSUD, are also public information.

(6) The doctoral student is the author of the doctoral thesis and assumes responsibility for the accuracy of the data and information presented in the thesis, as well as for the opinions and demonstrations expressed therein.

(7) The structure of the doctoral thesis is established by the doctoral student in consultation with the doctoral supervisor and shall comply with the framework structure and limitations imposed by the IOSUD Regulations and the decisions of the Doctoral School.



(8) The doctoral student, as the author of the doctoral thesis, together with the doctoral supervisor, is responsible for complying with quality and professional ethics standards, including the originality of the content.

(9) IOSUD, through the Doctoral School, is required to verify the doctoral thesis using a computer program designed to detect similarities prior to the public defence of the doctoral thesis. The verification is carried out based on the standards contained in the National Guide for the Drafting of Doctoral Theses, developed by the National Council for Attestation of University Degrees, Diplomas and Certificates (CNATDCU). The results of the analysis shall be made available to the doctoral student, the doctoral supervisor and the Doctoral Committee – Similarity Report II.

**Art. 25. Procedure prior to the public defence of the doctoral thesis. Stages. (1)** The doctoral student submits the doctoral thesis in electronic format and, where applicable, in printed format to the secretariat of the doctoral school, together with the summary of the doctoral thesis and the statement on their own responsibility regarding the originality of the doctoral thesis; the thesis is sent by the doctoral supervisor to the members of the Guidance and Academic Integrity Committee.

(2) The person(s) designated by the resolution of the director of the Doctoral School, based on the statement submitted by the doctoral student, shall perform the similarity analysis using a programme recognised by CNATDCU and shall issue *the Similarity Report I*. The SD director may additionally request the use of another nationally developed programme for similarity detection. The similarity report, as well as any additional report, shall be archived in the doctoral file; the identification, at the time of the thesis evaluation, by the doctoral supervisor or by the Guidance and Academic Integrity Committee, of violations of good conduct in research and development, including plagiarism of the results or publications of other authors, fabrication of results or replacement of results with fictitious data, must be notified to the UAIC Academic Ethics and Integrity Commission for analysis. The UAIC Academic Ethics and Integrity Committee determines the applicable sanction, which, in the case of plagiarism, is expulsion.

(3) The analysis and interpretation of the similarity report results are carried out both by the person(s) designated by the Doctoral School and by the Guidance and Academic Integrity Committee, in accordance with the IOSUD regulations, prior to the defence.

(4) In situations where the similarity report indicates the need for modifications to the doctoral thesis, the student and the doctoral supervisor are officially notified by the Director of the Doctoral School. After rectifying the identified elements the stages mentioned in paragraphs (1) and (2) are resumed.

(5) The doctoral thesis is presented to the Guidance and Academic Integrity Committee for pre-defence. The procedure is public. After this pre-defence, the doctoral supervisor, in accordance with the recommendations of the Guidance and Academic Integrity Committee and the results of the similarity report analysis, decides on the official submission of the thesis and the organisation of the public defence and issues an acceptance report. The acceptance report of the doctoral supervisor and the agreement of the Guidance and Academic Integrity Committee members shall be archived in the doctoral file. The duration of the thesis evaluation process within the Guidance and Academic Integrity Committee is 30 days and may be extended by a maximum of 30 days in duly justified cases.

(6) The doctoral thesis shall be officially submitted by the doctoral student to the secretariat of the doctoral school, in printed and electronic format, accompanied by the result of the similarity report analysis carried out by the designated person(s), together with the summary of the doctoral thesis, the doctoral student's CV



and the estimated date for the public defence. Three copies of the thesis are required (one for the IOSUD UAIC library, one for the UAIC archive and one for the National Library).

**(7)** The doctoral school secretariat certifies that the doctoral student has fulfilled all the obligations of the doctoral programme and ensures that the file is sent for being uploaded for consultation on the national platform.

**(8)** The doctoral thesis and its annexes shall be available for public consultation for 90 calendar days prior to the public defence on the national platform managed by the Executive Unit for the Financing of Higher Education, Research, Development and Innovation (UEFISCDI), in accordance with the legal provisions in force in the field of copyright.

**(9)** During the period when the thesis is public on the national platform, any natural or legal person may signal deviations from ethical and deontological standards, including the existence of plagiarism. Any such notifications or observations can be submitted online, to an e-mail address provided by the doctoral school or to the IOSUD-UAIC registry. The observations shall be recorded in a report drawn up by the Director of the Doctoral School or another designated member of the Doctoral School, which shall be forwarded to CSUD for analysis. The report and the CSUD Decision validating the initiation of the public defence procedure are part of the doctoral file.

**(10)** If CSUD validates the initiation of the public defense procedure, the doctoral supervisor forwards the public defense committee proposal.

**(11)** If the CSUD invalidates the initiation of the public defence procedure, the doctoral school shall receive recommendations on the revision of the doctoral thesis and the elimination of situations that do not comply with ethical and deontological standards, and the doctoral student is obliged to remedy the content of the thesis within 3 months of the communication of the decision to invalidate the defence, with the resumption of the procedure related to the public consultation.

**(12)** The public defense committee proposal is forwarded by the doctoral supervisor (main supervisor), approved by the Doctoral School Council and by CSUD. As far as Romanian assessors are concerned, the proposal should prioritize specialists in the field of the doctoral thesis shall be proposed as a priority.

**(13)** The Public Defense Committee shall consist of at least 5 members: the chair, as representative of IOSUD-UAIC, the doctoral supervisor(s) and at least 3 official assessors from Romania and abroad, specialists primarily in the doctoral field associated with the doctoral thesis, at least two of whom are employed outside IOSUD-UAIC. The members of the public defense committee hold a doctoral degree and have at least the teaching position of associate professor or scientific researcher grade II or hold the position of doctoral supervisor, in Romania or abroad.

**(14)** The request for the public defence is submitted by the doctoral student, approved by the doctoral supervisor and the chair of the defence committee. The request is submitted to the doctoral school secretariat at least 25 calendar days before the proposed date of the defence. The proposed date for the defence may not be earlier than the previously estimated date for the public defence.

**(15)** The doctoral school shall prepare and display the announcement for the public defence at least 20 calendar days before the proposed date of the defence. It shall include the date, place and time of the defence, the name(s) of the doctoral supervisor(s), as well as the place where the full text of the thesis can be consulted in printed form. The announcement of the public defence, the abstract of the thesis in electronic



format, the CV of the doctoral student, the CVs of the members of the Doctoral Committee or links to the CVs shall be posted on the IOSUD-UAIC and Doctoral School websites.

**(16)** Before the public defence, the designated person(s) shall prepare a new similarity report – *Similarity Report II*, which shall be included in the file submitted to CNATDCU.

**(17)** After the doctoral diploma has been issued, the doctoral thesis, in digital and printed format, shall be archived at the library of the higher education institution for an indefinite period.

**Art. 26. Procedure for the public defence of the doctoral thesis. Stages (1)** The doctoral thesis shall be sent to the members of the public defense committee, together with the documents and the information necessary for organising the public defence.

**(2)** If a member of the public defense committee identifies, during the evaluation of the thesis, both prior to and during the public defence, serious deviations from good conduct in scientific research and university activity, they are obliged to take the following measures:

- a) notify the UAIC Academic Ethics and Integrity Committee of the failure to comply with the rules of academic ethics and deontology, in accordance with the UAIC Code of Academic Ethics and Deontology;
- b) notify all members of the Doctoral Committee of the respective deviations and propose the award of an "Unsatisfactory" qualification.

**(3)** All the assessors designated as members of the public defense committee shall carry out an individual evaluation of the thesis, draw up the evaluation report and submit it to the Doctoral School secretariat at least 15 days before the defence.

**(4)** The doctoral thesis is defended in a public session before the public defense committee. Participation in the public defence of the doctoral thesis may take place in person or online. The defence may take place in the synchronous presence of at least 4 members of the Doctoral Committee, with the mandatory physical participation of the Committee Chair, the doctoral supervisor and the doctoral student.

**(5)** The public defence must include a question and answer session with the members of the public defense committee and the public. The chair of the public defense committee will mediate the dialogue between the doctoral student and the participants in the public defence session.

**(6)** Following the public defence of the doctoral thesis, the reports of the assessors, the statement on the originality of the work and the similarity reports I and II, in compliance with the minimum standards developed by CNATDCU for the award of the doctoral degree, the public defense committee shall evaluate the entire scientific content and deliberate on the award of the doctoral degree.

**(7)** If the doctoral student has fulfilled all the requirements set out in the Scientific Research Programme, as well as the minimum standards established for the respective doctoral field, the public defense committee proposes the award of the doctoral degree and records the proposal in the minutes of the public meeting.

**(7.1.)** The doctoral school secretariat forwards the proposal for awarding the doctoral degree and the complete file to the Department for Doctoral Studies. The file shall be uploaded to the CNATDCU platform within 30 calendar days from the public defense.

**(7.1.1.)** Within 90 calendar days of receiving the doctoral file, the CNATDCU issues a notice of compliance with the administrative procedure carried out at the IOSUD-UAIC level and compliance with the minimum criteria for awarding the doctoral degree. The administrative procedure includes, as a separate step, verification of the declaration of originality of the thesis and analysis of the similarity reports. Within 30



calendar days of receiving the notice of compliance, IOSUD-UAIC shall issue the decision to award the doctoral degree, signed by the Rector, and shall prepare and issue the doctoral degree.

**(7.1.2.)** If CNATDCU decides that the administrative procedure carried out at IOSUD-UAIC did not comply with the provisions of the Framework Regulations, the doctoral file will be returned to IOSUD-UAIC to be completed. It may be resubmitted to CNATDCU for further analysis only after the procedures provided for in Article 22(1)-(6) of the Framework Regulations on doctoral studies have been repeated. **(7.1.3.)** If CNATDCU invalidates the process, IOSUD-UAIC will receive a written statement of invalidation.

**(7.1.3.)** If CNATDCU invalidates the process, IOSUD-UAIC shall receive a *written statement stating the reasons for the invalidation*, and the file may be resubmitted to CNATDCU for re-evaluation after remedying the reasons for the invalidation, in accordance with the procedure provided for in Article 71(7) of Law No. 199/2023 and Article 22(11) of the Framework Regulations on Doctoral Studies. **(8)** If the doctoral student has not fulfilled all the requirements set out in the Scientific Research Programme or the minimum standards for the field, the Doctoral Committee shall specify the elements to be remedied.

**(8)** If the doctoral student has not met all the requirements set out in the Scientific Research Programme or the minimum standards for the field, the Doctoral Committee shall specify the elements to be redrafted or completed in the doctoral thesis and shall request a new public defence of the thesis. The second public defence of the thesis shall take place before the same public defence committee as in the case of the first defence. If the minimum standards are not met at the second public defence, the doctoral degree shall not be awarded and the doctoral student shall be expelled.

**(9)** The doctoral graduate has the right to decide with regard to the publication of the doctoral thesis. If they do not opt for the separate publication of the thesis or chapters thereof, the digital form of the thesis remains public and will be freely accessible on the national platform managed by UEFISCDI, including after the issue of the decision to award the doctoral degree. The thesis will be assigned a copyright protection licence. If the doctoral candidate chooses to publish the doctoral thesis or chapters thereof separately, they shall be granted a grace period of up to 24 months to do so, during which time the doctoral thesis in digital format shall become inaccessible to the public. After the grace period expires, if no notification regarding the separate publication of the thesis has been uploaded to the platform managed by UEFISCDI, the document in digital format automatically becomes freely accessible, with the assignment of a copyright protection licence.

**(10)** After the publication of the thesis or chapters thereof, the author is required to send a notification to IOSUD-UAIC in this respect and to send the bibliographic reference and a link to the publication, which will then be made public on the national platform managed by UEFISCDI.

**(11)** After the decision to award the doctoral degree has been issued, within a maximum of 180 days, IOSUD-UAIC is required to send a printed copy of the doctoral thesis and its annexes to the National Library of Romania, in accordance with Law No. 111/1995 on the Legal Deposit of Documents, republished, a copy intended for the Intangible Fund, as well as a digital copy of these, on electronic media, intended for consultation on request, at the headquarters of the National Library of Romania, by any interested person, in compliance with the legal regulations in force.

**(12)** IOSUD has the obligation to develop and adopt regulations regarding the quality standards that govern the elaboration of doctoral theses. These standards will be correlated with the minimum standards established by CNATDCU for the award of doctoral degrees, as well as with the guide on the writing of doctoral theses developed by CNATDCU.



**Art. 27. Compliance with scientific and academic ethics (1)** In teaching and academic research activities, compliance with the rules of academic ethics and deontology, regulated by the UAIC Code of Academic Ethics and Deontology, as well as with the legal rules applicable to scientific and academic ethics, is mandatory.

**(2)** Any complaints regarding non-compliance with the rules of academic ethics and deontology shall be recorded and resolved in accordance with the UAIC Code of Academic Ethics and Deontology.

**Art. 28. Funding of doctoral programmes (1)** Doctoral studies shall be funded in accordance with the legislation in force and the institutional funding contract.

**(2)** Doctoral programmes are funded from the public budget (through doctoral grants) and private funds. Public funds are provided by the Romanian state or the EU. Private funds are obtained from fees collected by doctoral schools within IOSUD-UAIC or from contributions from private agents, namely donations or contracts concluded with private economic agents or foundations.

**(3)** A number of doctoral positions equal to the number of grants is allocated annually for doctoral studies by Government Decision initiated by the Ministry of Education.

**(4)** The allocation of grant-funded doctoral positions to IOSUD shall be approved by order of the Minister of Education.

**(5)** Grants for doctoral studies include the amount of individual scholarships for full-time studies and do not include the amount of individual scholarships for part-time studies.

**(6)** IOSUD-UAIC allocates a minimum of 20% of doctoral grant funding to research activities carried out by doctoral students, including their national and international mobility.

**(7)** A candidate may receive budget funding for only one doctoral programme.

**(8)** Persons who meet the legal conditions for pursuing a doctoral programme and have the appropriate financial resources, either from their own sources or from scholarships awarded by natural or legal persons, may be admitted, after completing the admission process, as fee-paying doctoral students, under the conditions of these Regulations.

**(9)** In doctoral programmes, the fees proposed by the doctoral schools must take into account the funding of supervision and scientific research activities through doctoral grants.

**(10)** The amount of all fees related to doctoral study programmes is set annually by the UAIC Senate, based on the proposals of the Doctoral Schools, which are initially approved by the IOSUD management. The tuition fee is displayed at each doctoral school before the admission competition and remains unchanged for the respective series of doctoral students throughout their doctoral studies. For extension periods, fees are updated to the level of the respective year's cohort.

## CHAPTER V. Final provisions

**Art. 29.** These Regulations were approved on 22 May 2025 and subsequently amended by Senate of the Alexandru Ioan Cuza University of Iași on 18 September 2025 and apply to all students enrolled in doctoral studies.

**RECTOR,**

**Prof. Liviu-George MAHA**



## ANNEXES

## Annex 1. Guidelines for writing doctoral theses

| No. | Doctoral School                                            | Thesis writing guide                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|-----|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | <b>Biology</b>                                             | <a href="https://www.bio.uaic.ro/?page_id=1755">https://www.bio.uaic.ro/?page_id=1755</a>                                                                                                                                                                                                                                                                                                                                                            |
| 2   | <b>Chemistry</b>                                           | <a href="https://www.chem.uaic.ro/files/File/2017-2018/doctorat/regulamente/standarde-elaborare-teze-de-doctorat-extras-regulament-sd-chimie.pdf">https://www.chem.uaic.ro/files/File/2017-2018/doctorat/regulamente/standarde-elaborare-teze-de-doctorat-extras-regulament-sd-chimie.pdf</a>                                                                                                                                                        |
| 3   | <b>Law</b>                                                 | <a href="https://laws.uaic.ro/images/doctorat/regulamente/ghid_de_redactare_a_lucrarii_de_doctorat_2019_final_ro.pdf">https://laws.uaic.ro/images/doctorat/regulamente/ghid_de_redactare_a_lucrarii_de_doctorat_2019_final_ro.pdf</a>                                                                                                                                                                                                                |
| 4   | <b>Economics and Business Administration</b>               | <a href="https://doctorat.feaa.uaic.ro/documente/Legislatie/Regulament_SDEAA_iunie%202024.pdf">https://doctorat.feaa.uaic.ro/documente/Legislatie/Regulament_SDEAA_iunie%202024.pdf</a><br>Annex R 6                                                                                                                                                                                                                                                 |
| 5   | <b>Philosophy and Social Sciences – Political Sciences</b> | <a href="https://www.fssp.uaic.ro/images/files/scoala-doctorala/acreditari/Ghid_de_redactare_a_tezei_de_doctorat_2021_.pdf">https://www.fssp.uaic.ro/images/files/scoala-doctorala/acreditari/Ghid_de_redactare_a_tezei_de_doctorat_2021_.pdf</a>                                                                                                                                                                                                    |
| 6   | <b>Physics</b>                                             | <a href="https://www.phys.uaic.ro/wp-content/uploads/2025/07/Ghid-elaborare-teza-doctorat.pdf">https://www.phys.uaic.ro/wp-content/uploads/2025/07/Ghid-elaborare-teza-doctorat.pdf</a>                                                                                                                                                                                                                                                              |
| 7   | <b>Geosciences</b>                                         | <a href="https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Documente/Ghid_de_redactare_a_tezei_de_doctorat.pdf">https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Documente/Ghid_de_redactare_a_tezei_de_doctorat.pdf</a><br><a href="https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Documente/ROF_GEOSTIINTE_2024_2025.pdf">https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Documente/ROF_GEOSTIINTE_2024_2025.pdf</a> |
| 8   | <b>Informatics</b>                                         | <a href="https://cdn-1.info.uaic.ro/scdoc-info-uaic-ro/Anexa%20R2_Ghid%20de%20redactare%20a%20tezei%20de%20doctorat.pdf">https://cdn-1.info.uaic.ro/scdoc-info-uaic-ro/Anexa%20R2_Ghid%20de%20redactare%20a%20tezei%20de%20doctorat.pdf</a>                                                                                                                                                                                                          |
| 9   | <b>History</b>                                             | <a href="https://history.uaic.ro/wp-content/uploads/2021/02/Anexa-1.-Ghidul-de-elaborarea-a-unei-teze-de-doctorat.pdf">https://history.uaic.ro/wp-content/uploads/2021/02/Anexa-1.-Ghidul-de-elaborarea-a-unei-teze-de-doctorat.pdf</a>                                                                                                                                                                                                              |
| 10  | <b>Mathematics</b>                                         | <a href="https://www.math.uaic.ro/index.php?lb=0&amp;cod=0&amp;id=1072">https://www.math.uaic.ro/index.php?lb=0&amp;cod=0&amp;id=1072</a>                                                                                                                                                                                                                                                                                                            |
| 11  | <b>Psychology and Education Sciences</b>                   | <a href="https://drive.google.com/file/d/1O73E6fBG4mhlzVYVpEBexK04syrGQxG7/view?pli=1">https://drive.google.com/file/d/1O73E6fBG4mhlzVYVpEBexK04syrGQxG7/view?pli=1</a>                                                                                                                                                                                                                                                                              |
| 12  | <b>Philological Studies</b>                                | <a href="https://litere.uaic.ro/teze-de-doctorat/">https://litere.uaic.ro/teze-de-doctorat/</a><br><a href="https://litere.uaic.ro/wp-content/uploads/2025/08/Ghid-pentru-redactarea-tezelor-de-doctorat.pdf">https://litere.uaic.ro/wp-content/uploads/2025/08/Ghid-pentru-redactarea-tezelor-de-doctorat.pdf</a>                                                                                                                                   |
| 13  | <b>Science of Sport and Physical Education</b>             | <a href="https://www.sport.uaic.ro/tmp/files/2023/06/04-RO-Ghid-pt-elaborarea-tezei-de-doctorat-anexa-3-2022.pdf">https://www.sport.uaic.ro/tmp/files/2023/06/04-RO-Ghid-pt-elaborarea-tezei-de-doctorat-anexa-3-2022.pdf</a>                                                                                                                                                                                                                        |



| No. | Doctoral School          | Thesis writing guide                                                                                                                                                                                                                |
|-----|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                          | <a href="https://www.sport.uaic.ro/tmp/files/2023/06/04.2-ENG-Ghid-pt-elaborarea-tezei-de-doctorat-anexa-3-2022.pdf">https://www.sport.uaic.ro/tmp/files/2023/06/04.2-ENG-Ghid-pt-elaborarea-tezei-de-doctorat-anexa-3-2022.pdf</a> |
| 14  | <a href="#">Theology</a> | <a href="https://www.teologie.uaic.ro/wp-content/uploads/2025/08/GHID-teza-de-doctorat-TEOLOGIE.pdf">https://www.teologie.uaic.ro/wp-content/uploads/2025/08/GHID-teza-de-doctorat-TEOLOGIE.pdf</a>                                 |

## Annex 2. Procedures for awarding credits

| No. | Doctoral School                                       | Field                                | Credit award procedure                                                                                                                                                                                                                                                                       |
|-----|-------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | <a href="#">Biology</a>                               | <a href="#">Biology</a>              | <a href="https://www.bio.uaic.ro/?page_id=1749">https://www.bio.uaic.ro/?page_id=1749</a>                                                                                                                                                                                                    |
| 2   | <a href="#">Chemistry</a>                             | <a href="#">Chemistry</a>            | <a href="https://www.chem.uaic.ro/ro/doctorat-2/fisa-acordare-credite.html">https://www.chem.uaic.ro/ro/doctorat-2/fisa-acordare-credite.html</a>                                                                                                                                            |
| 3   | <a href="#">Law</a>                                   | <a href="#">Law</a>                  | Article 7(2)(l)<br><a href="https://laws.uaic.ro/images/doctorat/regulamente/Regulament_de_organizare_si_functionare_a_studiilor_doctorale_drept_2023.pdf">https://laws.uaic.ro/images/doctorat/regulamente/Regulament_de_organizare_si_functionare_a_studiilor_doctorale_drept_2023.pdf</a> |
| 4   | <a href="#">Economics and Business Administration</a> | Business Administration              | <a href="https://doctorat.feaa.uaic.ro/documente/Legislatie/Metodologie%20acordare%20credite%20SDEAA.pdf">https://doctorat.feaa.uaic.ro/documente/Legislatie/Metodologie%20acordare%20credite%20SDEAA.pdf</a>                                                                                |
|     |                                                       | Cybernetics and Statistics           |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Accounting                           |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Economics                            |                                                                                                                                                                                                                                                                                              |
|     |                                                       | International Economics and Business |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Finance                              |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Economic informatics                 |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Management                           |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Marketing                            |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Administrative Sciences              |                                                                                                                                                                                                                                                                                              |
| 5   | <a href="#">Philosophy and Social Sciences</a>        | Philosophy                           | <a href="https://www.fssp.uaic.ro/departamente/scoala-doctorala/documente">https://www.fssp.uaic.ro/departamente/scoala-doctorala/documente</a>                                                                                                                                              |
|     |                                                       | Sociology                            |                                                                                                                                                                                                                                                                                              |
|     |                                                       | Communication Sciences               |                                                                                                                                                                                                                                                                                              |



| No. | Doctoral School                                       | Field                                                   | Credit award procedure                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----|-------------------------------------------------------|---------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     | <a href="#">Political Sciences</a>                    | Political Sciences                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 6   | <a href="#">Physics</a>                               | <a href="#">Physics</a>                                 | <a href="https://www.phys.uaic.ro/wp-content/uploads/2025/07/CREDITE.pdf">https://www.phys.uaic.ro/wp-content/uploads/2025/07/CREDITE.pdf</a>                                                                                                                                                                                                                                                                                                                             |
| 7   | <a href="#">Geosciences</a>                           | Geography                                               | <a href="https://www.geo.uaic.ro/programe-de-studii/scoala-doctorala-geostiinte/procedura-finalizare-studii-doctorale">https://www.geo.uaic.ro/programe-de-studii/scoala-doctorala-geostiinte/procedura-finalizare-studii-doctorale</a>                                                                                                                                                                                                                                   |
|     |                                                       | Geology                                                 | <a href="https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Formulare/finalizare-3020/SDG_Procedura_de_acordare_a_creditelor_pentru_studentii_doctoranzi.pdf">https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Formulare/finalizare-3020/SDG_Procedura_de_acordare_a_creditelor_pentru_studentii_doctoranzi.pdf</a>                                                                                                                                           |
|     |                                                       | Environmental Science                                   | <a href="https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Formulare/finalizare-3020/SDG_Fi%C5%9Fa_de_evaluare_a_activit%C4%83%C8%9Bii_studen%C8%9Bilor_doctoranzi_din_cadrul_%C8%98colii_doctorale_de_Geo%C8%99tiin%C8%9Be.docx">https://www.geo.uaic.ro/images/geodocs/Scoala_Doctorala/Formulare/finalizare-3020/SDG_Fi%C5%9Fa_de_evaluare_a_activit%C4%83%C8%9Bii_studen%C8%9Bilor_doctoranzi_din_cadrul_%C8%98colii_doctorale_de_Geo%C8%99tiin%C8%9Be.docx</a> |
| 8   | <a href="#">Informatics</a>                           | <a href="#">Computer Science</a>                        | <a href="https://cdn-1.info.uaic.ro/scdoc-info-uaic-ro/2025_Metodologia%20de%20acordare%20a%20creditelor%20%C3%AEn%20cadrul%20%C5%9Ecolii%20doctorale%20de%20Informatic%C4%83.pdf">https://cdn-1.info.uaic.ro/scdoc-info-uaic-ro/2025_Metodologia%20de%20acordare%20a%20creditelor%20%C3%AEn%20cadrul%20%C5%9Ecolii%20doctorale%20de%20Informatic%C4%83.pdf</a>                                                                                                           |
| 9   | <a href="#">History</a>                               | <a href="#">History</a>                                 | <a href="https://history.uaic.ro/wp-content/uploads/2025/08/METODOLOGIA-ACORDARII-CREDITELOR-PENTRU-SCOALA-DOCTORALA-DE-ISTORIE.pdf">https://history.uaic.ro/wp-content/uploads/2025/08/METODOLOGIA-ACORDARII-CREDITELOR-PENTRU-SCOALA-DOCTORALA-DE-ISTORIE.pdf</a>                                                                                                                                                                                                       |
| 10  | <a href="#">Mathematics</a>                           | <a href="#">Mathematics</a>                             | <a href="https://www.math.uaic.ro/index.php?lb=0&amp;cod=0&amp;id=1072">https://www.math.uaic.ro/index.php?lb=0&amp;cod=0&amp;id=1072</a>                                                                                                                                                                                                                                                                                                                                 |
| 11  | <a href="#">Psychology and Educational Sciences</a>   | Psychology                                              | <a href="https://drive.google.com/file/d/1ZCJpDJZN2BPYuTB_eNoxC3gwo6sF2GJ1/view?pli=1">https://drive.google.com/file/d/1ZCJpDJZN2BPYuTB_eNoxC3gwo6sF2GJ1/view?pli=1</a>                                                                                                                                                                                                                                                                                                   |
|     |                                                       | Education Sciences                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 12  | <a href="#">Philological Studies</a>                  | Philology                                               | <a href="https://litere.uaic.ro/wp-content/uploads/2025/08/Ghid-pentru-redactarea-tezelor-de-doctorat.pdf">https://litere.uaic.ro/wp-content/uploads/2025/08/Ghid-pentru-redactarea-tezelor-de-doctorat.pdf</a>                                                                                                                                                                                                                                                           |
| 13  | <a href="#">Sports Science and Physical Education</a> | <a href="#">Science of Sport and Physical Education</a> | <a href="02-RO-Regulament-de-evaluare-a-activ-stud-drd-anexa-1-2022.pdf">02-RO-Regulament-de-evaluare-a-activ-stud-drd-anexa-1-2022.pdf</a>                                                                                                                                                                                                                                                                                                                               |
| 14  | <a href="#">Theology</a>                              | <a href="#">Theology</a>                                | <a href="https://www.teologie.uaic.ro/scoala-doctorala/documente/regulamente/">https://www.teologie.uaic.ro/scoala-doctorala/documente/regulamente/</a>                                                                                                                                                                                                                                                                                                                   |



### **Annex 3. Documents and forms**